

16558 U.S. PTO
020504

PTO/SB/05 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	26333.958
First Inventor	Herve H. Douglens et al.
Title	BEARING ASSEMBLY AND METHOD
Express Mail Label No.	EV 333439115US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

- ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- ☐ Applicant claims small entity status.
See 37 CFR 1.27.
- ☒ Specification [Total Pages 14]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
- Oath or Declaration [Total Sheets 2]
 - ☐ Newly executed (original or copy)
 - ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- ☒ Application Data Sheet. See 37 CFR 1.76

- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - ☐ Computer Readable Form (CRF)
 - Specification Sequence Listing on:
 - ☐ CD-ROM or CD-R (2 copies); or
 - ☐ Paper
 - ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
- ☐ English Translation Document (if applicable)
- ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- ☒ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
- ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
- ☒ Other: check.....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 10/137.767.....

Prior application information:

Examiner Footland, Lenard A.

Art Unit: 3682

For CONTINUATION OF DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number: 000027683 OR ☐ Correspondence address below

Name	Warren B. Kice (reg. no. 22,732)				
Address	Haynes and Boone, LLP				
	901 Main Street, Suite 3100				
City	Dallas	State	Texas	Zip Code	75202
Country	USA	Telephone		Fax	214-200-0853

Name (Print/Type)	<u>Warren B. Kice</u>	Registration No. (Attorney/Agent)	<u>22,732</u>
Signature	<u>[Signature]</u>	Date	<u>2/5/04</u>

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 770.00

Complete if Known

Application Number	Divisional of 10/137,767
Filing Date	February 5, 2004
First Named Inventor	Herve H. Dourlens et al.
Examiner Name	Footland, Lenard A.
Art Unit	3682
Attorney Docket No.	26333.958

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit
Account
Number
Deposit
Account
Name

08-1394

Haynes and Boone, LLP

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	770	2001	385	Utility filing fee	770.00
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) (\$) 770.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid	
15	-20** = 0	18	0.00	
Independent Claims	2	-3** = 0	86	0.00
Multiple Dependent		0	0.00	

Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	0.00
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	0.00
1053	130	1053	130	Non-English specification	0.00
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	0.00
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	0.00
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	0.00
1251	110	2251	55	Extension for reply within first month	0.00
1252	420	2252	210	Extension for reply within second month	0.00
1253	950	2253	475	Extension for reply within third month	0.00
1254	1,480	2254	740	Extension for reply within fourth month	0.00
1255	2,010	2255	1,005	Extension for reply within fifth month	0.00
1401	330	2401	165	Notice of Appeal	0.00
1402	330	2402	165	Filing a brief in support of an appeal	0.00
1403	290	2403	145	Request for oral hearing	0.00
1451	1,510	1451	1,510	Petition to institute a public use proceeding	0.00
1452	110	2452	55	Petition to revive - unavoidable	0.00
1453	1,330	2453	665	Petition to revive - unintentional	0.00
1501	1,330	2501	665	Utility issue fee (or reissue)	0.00
1502	480	2502	240	Design issue fee	0.00
1503	640	2503	320	Plant issue fee	0.00
1460	130	1460	130	Petitions to the Commissioner	0.00
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	0.00
1806	180	1806	180	Submission of Information Disclosure Stmt	0.00
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	0.00
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	0.00
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	0.00
1801	770	2801	385	Request for Continued Examination (RCE)	0.00
1802	900	1802	900	Request for expedited examination of a design application	0.00
				Other fee (specify)	0.00

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0.00

SUBMITTED BY

Name (Print/Type)	Warren B. Kice	Registration No. (Attorney/Agent)	22,732	Telephone	214-651-5634
Signature		Date	2/5/04		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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D-1209898.1

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Customer No. 000027683

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	§	Examiner: Footland, Lenard A.
Herve H. Dourlens et al.	§	
	§	
Divisional Application of Parent Application	§	Art Unit: 3682
Serial No.: 10/137,767	§	
	§	
Divisional Application Filed: February 5, 2004	§	Confirmation No.: 1768
	§	
Title: BEARING ASSEMBLY AND METHOD	§	

Mail Stop: Patent Application
Commissioner For Patents
P.O. Box 1450
Alexandria, VA 22313-1450

EXPRESS MAIL CERTIFICATE

Express Mail Number: EV 333439115 US Date of Deposit: February 5, 2004

I hereby certify that the following papers and fee are attached:

1. Divisional Utility Patent Application Transmittal;
2. Fee Transmittal for FY 2004;
3. Preliminary Amendment;
4. Initial Information Data Sheet;
5. Specification (14 pages);
6. Three (3) sheets of formal figures containing Figs. 1-6;
7. Copy of Inventor's Declaration for Patent Application from parent application (10/137,767);
8. Information Disclosure Statement with PTO Form 1449;
9. Check \$770.00; and
10. Return Postcard.

are being deposited with United States Postal Service "Express Mail Post Office to addressee" to the Commissioner for Patents, Mail Stop Patent Application, P.O. Box 1450, Alexandria, VA 22313-1450.

Typed or Printed Name: SANDRA KUBIN

Signature: 

Date: February 5